

**November 2021 Prospective Report  
Solicitations, Contracts, Purchase Orders, and Letters of Engagement  
\$1 Million or Greater**

No.	Solicitations	Details	Program	Release	Anticipated Contract Start	Description of Services
1.	<b>Global Tax Services</b> (Solicitation No. TBD) Investment Office	Solicitation; single award; five-year contract; estimated amount to be negotiated.	INVO	Winter 2022	February 1, 2023	Global tax services for the Investment Office.
2.	<b>Information Technology Consultants Spring-Fed Pool, Refresh No. 1</b> (Solicitation No. 2021-9101)	Solicitation; multiple contract awards; contract term through June 30, 2025. Estimated contract value may exceed \$5M for the duration of the pool. Letters of Engagement from the IT SFP that may exceed \$1M will be reported separately under the Letter of Engagement section of the Prospective Report.	ITSB	November 2021	September 1, 2022	Information technology consulting services.
3.	<b>CalPERS Health Maintenance Organization (HMO)</b> (Solicitation No: 2021-9099)	Solicitation; five-year contract term; estimated contract amount to be negotiated.	HPBB/HPRA	September 2021	January 2024	CalPERS seeks to contract with firms to provide healthcare services for Health Maintenance Organization (HMO) plans.

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4.	<p><b>Global Investment Performance Standards (GIPS) Verification and Examination Services</b> (Solicitation No: TBD)</p>	<p>Solicitation; five-year contract term; estimated contract amount to be negotiated.</p>	<p>FINO/FRAS</p>	<p>Winter 2022</p>	<p>October 2022</p>	<p>Contractor will provide GIPS compliant verification and examination services. Services include assessment of CalPERS policies and procedures to determine if they are designed to calculate and present performance in accordance with the GIPS Standards. Services also include an examination to assess whether composites(s) are constructed and calculated in compliance with GIPS standards and to issue recommendation letter(s) describing findings, including areas of improvement, if applicable.</p>

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No.	New Contracts / Amendments	Details	Program	Award / Initiate	Contract / Amendment Start	Description of Services
1.	<b>State Controller's Office (SCO) Contract</b> (Contract No. TBD)	Interagency Agreement: new five-year contract for health claims auditing and payment services which will replace the current contract that will expire on June 30, 2022; estimated contract amount not to exceed \$9.1M.	HPBB/HPRA	N/A	July 1, 2022	Provide CalPERS with Public Employee's Medical and Hospital Care Act (PEMHCA) services related to check writing, electronic funds transfers, transaction auditing, and support services to health plans, providers and members for the Preferred Provider Organization (PPO), Health Maintenance Organization (HMO), and Pharmacy Benefit Manager (PBM) payments in accordance with the provisions set forth in the Work Statement as stated in the Agreement between CalPERS and the SCO.
2.	<b>Blackstone Liquid Credit Advisors I LLC</b> (Contract No. 2021-9085) Opportunistic Strategies	Award from Corporate Loan ASP; formerly reported as GSO Capital Partners; undefined value; term is without defined duration; if funded, base fees may exceed \$3M.	INVO	March 2020	Fall 2021	Investment management services for the Opportunistic Strategies portfolio.

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3.	<b>Pension Benefit Information (PBI)</b> (Contract No. TBD)	Exemption from Bid, death verification services; 3-year contract term, estimated contract may exceed \$1.5M	CSS/DSBD	Fall 2021	December 1, 2021	DSBD did not consistently identify deaths timely to minimize the issuance of benefit payments. By not identifying deaths timely, CalPERS continues to issue ongoing benefit payments to deceased members, which creates overpayments and increases the difficulty to collect. To mitigate these risks, new technologically advanced death verification services and member and beneficiary locator services are required to ensure timely reporting of deaths and prevent benefit overpayments.

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No.	Undefined – Legal Services Contracts	Details	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
1.	<b>DLA Piper, LLP</b> (Contract No. 2018-8555)	Contract began January 2019, awarded as a result of solicitation 2018-8482. Total estimated contract amount expected to exceed \$1M.	LEGO	Existing	January 2019	Outside legal counsel services for Private Equity and Infrastructure investments.

No.	Existing Investment Manager Contracts	Details	Program	Award / Initiate	Contract / Amendment Start	Description of Services
1.	<b>Alliance Bernstein L.P.</b> (Contract No. 2006-4120) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
2.	<b>Blue Bay Asset Management LLP</b> (Contract No. 2014-7473) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
3.	<b>Columbia Management Investment Advisers, LLC</b> (Contract No. 2008-4960) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.

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No.	Existing Investment Manager Contracts	Details	Program	Award / Initiate	Contract / Amendment Start	Description of Services
4.	<b>Goldman Sachs Asset Management, L.P.</b> (Contract No. 2014-7474) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
5.	<b>J.P. Morgan Investment Management, Inc.</b> (Contract No. 2008-4963) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
6.	<b>Logan Circle Partners, L.P.</b> (Contract No. 2008-4962) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
7.	<b>Ninety One North America, Inc.</b> (Contract No. 2014-7475) Global Fixed Income	Existing Investment Manager, formally known as Investec Investment Management North America, Inc., undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.

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No.	Existing Investment Manager Contracts	Details	Program	Award / Initiate	Contract / Amendment Start	Description of Services
8.	<b>Nomura Corporate Research and Asset Management, Inc.</b> (Contract No. 2001-2621) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
9.	<b>Pacific Investment Management Company LLC</b> (Contract No. 2001-2620) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
10.	<b>Pacific Investment Management Company LLC</b> (Contract No. 2006-4121) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
11.	<b>The Putnam Advisory Company, LLC</b> (Contract No. 2008-4961) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
12.	<b>Voya Investment Management Co. LLC</b> (Contract No. 2008-4965) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.

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No.	Existing Investment Manager Contracts	Details	Program	Award / Initiate	Contract / Amendment Start	Description of Services
13.	<b>Arrowstreet Capital LP</b> (Contract No. 2014-7483) Global Equity	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Equity portfolio.
14.	<b>Baillie Gifford Overseas Limited</b> (Contract No. 2000-2263) Global Equity	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Equity portfolio.
15.	<b>Wellington Management Company, LLP</b> (Contract No. 2014-7480) Global Equity	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Equity portfolio.
16.	<b>CVC Credit Partners, LLC</b> (Contract No 2020-9014) Opportunistic Strategies	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base fees may exceed \$3M.	INVO	Existing	Existing	Investment management services for the Opportunistic Strategies portfolio.
17.	<b>State Street Global Advisors Trust Company</b> (Contract No. 2012-6541) Trust Level Portfolio Management & Implementation	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2021-22; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Trust Level Portfolio Management and Implementation portfolios.

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No.	Contracts Without Fixed Values	Details	Program	Initiate	Contract / Amendment Start	Description of Services
1.	<b>Aon Risk Insurance Services West, Inc.</b> (Contract No. TBD) Real Assets	Insurance services for the Investment Office and Enterprise. Contract expires April 2027; payment is based on assets and may exceed \$5M per year.	INVO/Enterprise Risk Management	Spring 2021	May 1, 2022	Insurance services for the Investment Office and Enterprise Risk Management.
2.	<b>BlackRock Financial Management, Inc.</b> (Contract No. 2019-8702) Global Fixed Income	Investment Trading Platform for Global Fixed Income. Contract expires September 2024; payment is based on assets and may exceed \$15M per year.	INVO	Existing	Existing	Investment Trading Platform for Global Fixed Income
3.	<b>Charles River System, Inc. dba Charles River Development</b> (Contract No. 2019-8660) Global Equity	Investment Trading Platform for Global Equity. Contract expires May 2023; payment is based on assets and may exceed \$6M per year.	INVO	Existing	Existing	Investment Trading Platform for Global Equity
4.	<b>Goldman Sachs Asset Management, L.P.</b> (Contract No. 2018-8590) Global Equity	Investment advisory services where payment is based on specific fees, terms and services. Contract expires June 2024; payment is based on assets and may exceed \$1M per year.	INVO	Existing	Existing	Investment advisory services and/or modeling services for the Global Equity portfolio.
5.	<b>RERC LLC</b> (Contract No. 2021-9100) Real Assets	Appraisal services where payment is based on specific fees, terms and services. Contract expires March 2027; payment is based on assets and may exceed \$11M per year.	INVO	Spring 2021	April 1, 2022	Appraisal services for the Investment Office.

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No.	Letters of Engagement (LOEs)	Details	Program	Initiate	Contract / Amendment Start	Description of Services
1.	<b>Callan LLC</b> (Contract No. 2020-8708) Investment Office	Investment Office Spring Fed Pool; multiple awards; estimated amount may exceed \$1M for FY 21/22	INVO	Fall 2021	Fiscal Year 2021- 2022	Investment advisory services for multiple program areas in the Investment Office.
2.	<b>Mercer Investment Advisers LLC</b> (Contract No. 2020-8711) Investment Office	Investment Office Spring Fed Pool; multiple awards; estimated amount may exceed \$1M for FY 21/22	INVO	Fall 2021	Fiscal Year 2021- 2022	Investment advisory services for multiple program areas in the Investment Office.

**Legend:**

- HPBB – Health Policy and Benefits Branch
- INVO – Investment Office
- ITSB – Information Technology Services Branch
- LEGO – Legal Office
- FINO – Financial Office
- CSS – Customer Services and Support