



## Risk and Audit Committee Meeting Agenda

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All times are approximate and for reference only. The Committee may consider an item at an earlier or later time than set forth herein. The Committee may take action on any items that are designated for information. Public comment may be taken on agenda items in accordance with applicable law. There is a three-minute limitation on public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs., tit 2, § 552.1.) Members of the public may provide public comment via telephone by calling (800) 259-4105. Board members may attend meetings of committees of which they are not members and participate in the discussions during those meetings. In accordance with California Government Code section 11133 (added by Stats. 2021, ch. 165 § 2) and Executive Order N-1-22, Board members may participate via videoconference.

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### **CalPERS Auditorium**

Lincoln Plaza North  
400 P Street  
Sacramento, CA

### **April 18, 2022**

xx:xx p.m.

Or upon adjournment of the (Committee) Committee – Whichever is later

### **Committee Members**

Fiona Ma

Jose Luis Pacheco

Shawnda Westly

Lisa Middleton

Ramon Rubalcava

Betty Yee

David Miller

### **Open Session**

xx:xx p.m.

Or upon adjournment of the (Committee) Committee – Whichever is later

### **Item**

1. Call to Order and Roll Call
2. Approval of the April 18, 2022 Risk and Audit Committee Timed Agenda
3. Executive Report – Kevin Fein
4. **Action Consent Items** – Kevin Fein
  - a. Approval of the February 14, 2022 Risk and Audit Committee Meeting Minutes
5. **Information Consent Items** – Kevin Fein
  - a. Annual Calendar Review

- b. Draft Agenda for the June 14, 2022 Risk and Audit Committee Meeting
- c. Quarterly Status Report – Office of Audit Services
- d. Status Report – Enterprise Risk Management
- e. Status Report – Enterprise Compliance Activity

**6. Action Agenda Items**

- a. 2021-22 Enterprise Compliance and Risk Management Plans – Kevin Fein/Forrest Grimes

**7. Information Agenda Items**

- a. Summary of Committee Direction – Kevin Fein
- b. Public Comment

**Closed Session**

Upon Adjournment or Recess of Open Session (Government Code sections 11126(a)(1) and (g)(1))

**Item**

- 1. Call to Order and Roll Call
- 2. **Action Consent Items** – Kevin Fein
  - a. Approval of the February 14, 2022 Risk and Audit Committee Closed Session Meeting Minutes
- 3. **Information Agenda Items**
  - a. Personnel, Employment and Performance, Chief Compliance Officer – Kevin Fein
  - b. Personnel, Employment and Performance, Chief Auditor – Beliz Chappuie
  - c. Personnel, Employment and Performance, Chief Risk Officer – Forrest Grimes