



Risk and Audit Committee

Meeting Agenda

All times are approximate and for reference only. The Committee may consider an item at an earlier or later time than set forth herein. The Committee may take action on any items that are designated for information. Public comment may be taken on agenda items in accordance with applicable law. There is a three-minute limitation on public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs., tit 2, § 552.1.) Members of the public may provide public comment via telephone by calling (800) 259-4105. Board members may attend meetings of committees of which they are not members and participate in the discussions during those meetings. In accordance with California Government Code section 11133 (added by Stats. 2021, ch. 165 § 2) and Executive Order N-1-22, Board members may participate via videoconference.

Feckner Auditorium

Lincoln Plaza North
400 P Street
Sacramento, CA

June 20, 2023

TBD

Or upon adjournment of the (Committee) Committee – Whichever is later

Committee Members

Malia Cohen
Fiona Ma
Lisa Middleton

David Miller
Jose Luis Pacheco

Ramon Rubalcava
Mullissa Willette

Open Session

TBD

Or upon adjournment of the (Committee) Committee – Whichever is later

Item

1. Call to Order and Roll Call
2. Executive Report – Kevin L. Fein
3. **Action Consent Items** – Kevin L. Fein
 - a. Approval of the June 20, 2023 Risk and Audit Committee Timed Agenda
 - b. Approval of the February 13, 2023 Risk and Audit Committee Meeting Minutes
4. **Information Consent Items** – Kevin L. Fein
 - a. Annual Calendar Review

- b. Draft Agenda for the September 19, 2023 Risk and Audit Committee Meeting
- c. Quarterly Status Report – Office of Audit Services
- d. Status Report – Enterprise Risk Management
- e. Status Report – Enterprise Compliance Activity
- f. External Audit Fees

5. Information Agenda Items

- a. 2022-23 Annual Compliance Report – Kevin L. Fein
- b. Summary of Committee Direction – Kevin L. Fein
- c. Public Comment

Closed Session

Upon Adjournment or Recess of Open Session (Government Code sections 11126(a)(1) and (g)(1))

Item

- 1. Call to Order and Roll Call
- 2. **Action Consent Items** – Kevin L. Fein
 - a. Approval of the February 13, 2023 Risk and Audit Committee Closed Session Meeting Minutes
- 3. **Information Agenda Items**
 - a. Personnel, Employment and Performance, Chief Compliance Officer – Kevin L. Fein
 - b. Personnel, Employment and Performance, Chief Auditor – Beliz Chappuie